

Circular No. 18 of 2026

8 July 2026

Enforcement Statistics for 1H 2026

As an Approved Exchange and an Approved Clearing House, Asia Pacific Exchange Pte. Ltd. and Asia Pacific Clear Pte. Ltd. (collectively, “APEX”) are responsible for supervising its members. In overseeing market participants, APEX exercises administrative, investigative, and enforcement powers, which enables it to take a range of regulatory actions. For further details, please refer to APEX’s Trading Rulebook and Clearing Rulebook on the website.

APEX will publish its enforcement statistics periodically, with updates provided at least half-yearly. The table below summarizes the number of enforcement actions taken from 1 January 2026 to 30 June 2026 (“1H 2026”).

Cases Brought to the Disciplinary Panel

The table below reflects the number of cases brought to the Disciplinary Panel during the specified period.

	1H 2026
Cases Brought to the Disciplinary Panel	0

Breakdown of Direct Enforcement Actions Taken

	1H 2026
By Nature of Direct Enforcement Action	
Public Reprimand	0
Letter of Warning	0
Letter of Advice	0
Reminder	0
By Profile of Market Participants	
Member	1
Member Traders	0
Directors / Key Management	2
By Type of Breach	

Timeliness of financial reports and corporate governance issues	3
Others	1

FOR MORE INFORMATION, PLEASE CONTACT

Member Supervision

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