

Circular No. 09 of 2026

24 February 2026

Enforcement Statistics for 2025

As an Approved Exchange House and an Approved Clearing House, Asia Pacific Exchange Pte. Ltd. and Asia Pacific Clear Pte. Ltd. (collectively, “APEX”) are responsible for supervising its members. In overseeing market participants, APEX exercises administrative, investigative, and enforcement powers, which enables it to take a range of regulatory actions. For further details, please refer to APEX’s Trading Rulebook and Clearing Rulebook on the website.

From 2026 onwards, APEX will publish its enforcement statistics periodically, with updates provided at least half-yearly. The table below summarizes the number of enforcement actions taken from 1 January 2025 to 30 June 2025 (“1H 2025”) and 1 July 2025 to 31 December 2025 (“2H 2025”).

Cases Brought to the Disciplinary Panel

The table below reflects the number of cases brought to the Disciplinary Panel during the specified period.

	2H 2025	1H 2025
Cases Brought to the Disciplinary Panel	0	0

Breakdown of Direct Enforcement Actions Taken

	2H 2025	1H 2025
By Nature of Direct Enforcement Action		
Public Reprimand	0	0
Letter of Warning	1	1
Letter of Advice	0	0
Reminder	1	0
By Profile of Market Participants		
Member	2	1
Member Traders	0	0
Directors / Key Management	2	1

By Type of Breach		
Timeliness of financial reports and corporate governance issues	4	1
Others	1	0

FOR MORE INFORMATION, PLEASE CONTACT

Member Supervision

membersupervision@asiapacificex.com