

SEAT ID Application Form

(Email to operations@asiapacificex.com)

Member Details	Member Name	
	Member ID	

Action		<input type="checkbox"/> New <input type="checkbox"/> Amend <input type="checkbox"/> Close
(Amend or Close request)	Existing Seat ID	
	Intended Effective Date	____/____/____ Format DD/MM/YYYY <i>For amend/close request, applicant is required to give at least 2 months' notice.</i>
Date of Request		____/____/____ Format DD/MM/YYYY
Seat Type		<input type="checkbox"/> Management Seat <input type="checkbox"/> Single Trade Seat <ul style="list-style-type: none"> Management Seat - can receive the orders/trades reports of other Seats under the same Member ID/Sponsored Access ID. Single Trade Seat - can only receive the orders/trades reports of this Seat per se. <p>The applicant should apply for appropriate Seat type and ensure the right configuration is made on its side so that respective customer can only receive its own orders/trades information.</p>
Sponsored Access		<input type="checkbox"/> No <input type="checkbox"/> Existing Sponsored Access <ul style="list-style-type: none"> Existing SEAT ID: _____ <input type="checkbox"/> New Sponsored Access (please provide details below) <ul style="list-style-type: none"> Customer Name: Identification: _____(Type)/_____(Code) Address: <ul style="list-style-type: none"> <input type="checkbox"/> Within Singapore <input type="checkbox"/> Others: _____ <p>Business Contact Person</p> <ul style="list-style-type: none"> Name: Telephone: Email: <p>IT Contact Person</p> <ul style="list-style-type: none"> Name: Telephone: Email:

SEAT ID Details	
Types & Number of SEAT IDs Required	<p>Trading Seat:</p> <p><input type="checkbox"/> 50 TPS Order Trading Seat ID with Level 1 Market Data (Best Bid/Ask): _____ (quantity)</p> <p><input type="checkbox"/> 50 TPS Order Trading Seat ID with Level 2 Market Data (Best 5 Bid/Ask): _____ (quantity)</p> <p>Market Data Seat:</p> <p><input type="checkbox"/> Level 1 Market Data Seat ID (Best Bid/Ask) : ____ (quantity)</p> <p><input type="checkbox"/> Level 2 Market Data Seat ID (Best 5 Bid/Ask): ____ (quantity)</p>
Connectivity Information	<p>Connection type:</p> <ul style="list-style-type: none"> • Lease line <ul style="list-style-type: none"> ○ Bandwidth: ○ Network Service Provider: ○ Address: • Colocation:
Usage of API	<p><input type="checkbox"/> Broker/Agency</p> <p><input type="checkbox"/> Market Making</p> <p><input type="checkbox"/> Proprietary Trading</p> <p><input type="checkbox"/> Algorithmic Trading</p> <p><input type="checkbox"/> Reserved for new users</p> <p><input type="checkbox"/> Disaster Recovery or Backup</p>
OMS Information	<p>Product Name:</p> <p>Version Number:</p> <p>Provider:</p> <p>Provider Contact:</p> <p>Operating System and Version:</p> <p>Certified by APEX: <input type="checkbox"/>Yes <input type="checkbox"/>No (Conformance Test is required)</p>

Billing Contact Details	
Contact Person	
Address	
Telephone	
Fax	
Email	
Designation	

Technical Contact Details	
Contact Person	
Address	
Telephone	
Fax	
Email	
Designation	

SEAT Representative	
Contact Person	
Address	
Telephone	
Fax	
Email	
Designation	

Sponsoring/ Trading Member Declaration		
<p>We acknowledge and authorise the above Seat ID application.</p> <p>We acknowledge it is our responsibility to inform APEX of any software and network changes which involves the interface between the OMS and the Exchange Trading Platform.</p> <p>We acknowledge and comply with requirements set out in APEX Trading Procedures 1.2.7 and 1.2.8.</p> <p>We acknowledge we have informed the following personnel of our Clearing Member of this Seat ID application and the Seat ID details will be shared with them by APEX to facilitate the clearing of trades. APEX reserves the right to terminate or suspend the usage of SEAT ID without prior notice.</p> <p>We acknowledge that we have delegated access to APEX Trading to the sponsored access customer(“Permitted Users”) as contemplated under Clause 2.4 of the Electronic User Agreement (“EUA”) entered into between us and APEX.</p>		
Name / Designation (Personnel of Clearing Member)		
Contact		
Email		
Sponsoring/ Trading Member Authorised Signatories		
Name / Designation		
Signature / Date		
Company Stamp		
FOR EXCHANGE ONLY		
Verified by Technology:	Processed by Exchange:	Verified by Exchange:
Name:	Name:	Name:
Signature / Date:	Signature / Date:	Signature / Date:
SEAT ID Assigned:	IP Address:	Sponsored Access ID:

Appendix 1

Fee Schedule

Connectivity (Seat) Fee	Frequency	Amount in USD	Amount in USD (inclusive of 9% GST)
Application Fee (if request date is on or before 31st December)	At point of registration	3,000	3,270
Application Fee (if request date is after 31st December)	At point of registration	1,500	1,635
Annual Fee	Annual	3,000	3,270

Notes:

- Invoice for subsequent annual fee will be issued during first week of July and payable within 30 days from the date of the invoice issued.
- For termination of existing Seat ID, there will be no refund of the annual fee paid.

Bank Transfer Advice

Payee Name	Asia Pacific Clear Pte. Ltd.
Bank Name	DBS Bank
Bank Address	12 Marina Boulevard DBS Asia Central, Marina Bay Financial Centre Tower 3 Singapore 018982
Bank Code	7171
Bank Account No	0003041192015 (USD)
Branch Name	DBS Asia Central
Branch Code	003
Swift Code	DBSSSGSG

Appendix 2

MEMBER DETAILS

- a) Member Name
Name of the Member applying for the SEAT ID.
- b) Member ID
A Member is issued a unique ID by APEX for the purpose of submission.
- c) Action
Type of action for submission. Members can select the following options:
 - i. New – For creation of new position account
 - ii. Amend – For amendment of existing position account
 - iii. Closed – For closure of existing position account
- d) Existing SEAT ID (amend or close request)
Current SEAT ID for amendment or closure.
- e) Date of request
Format: DD/MM/YYYY

SEAT TYPE

- f) Management Seat – Can receive the orders/trades reports of other Seats under the same Member ID/Sponsored Access ID.
Single Trade Seat – Can only receive the orders/trades reports of this Seat per se.
The applicant should apply for appropriate Seat type and ensure the right configuration is made on its side so that respective customer can only receive its own orders/trades information

SPONSORED ACCESS

- g) Tick “No” if the applicant is not an existing Sponsored Access client and has no intention in applying for Sponsored Access.
Tick “Existing Sponsored Access” if the applicant is currently a client with Sponsored Access.
Tick “New Sponsored Access” if the applicant is not an existing Sponsored Access client but is applying to become one.

The applicant must ensure the right configuration is made on its side so that respective customer can only receive its own orders/trades information.

SEAT ID DETAILS

- h) Type and number of SEAT IDs required
Type of SEAT ID type allowed. Indicate the number of ID applying for.
 - i. 50TPS - 50 tick per second
 - ii. Level 1 - Price depth of 1 level
 - iii. Level 2 – Price depth of 5 level

i) Connectivity Information

Connectivity type: Indicate the methods user is connected to Exchange.

- i. Lease line – Technical details of the lease line should be provided namely:
 - Bandwidth – Bandwidth allocated to said lease line.
 - Network Service Provider – Service provided of said lease line.
 - Address – Address where application server is situated.
- ii. Colocation – Application server is located in same premises as Exchange.

j) Usage of API

This field states the purpose of the SEAT ID would be used for. The six (6) different categories are as follows:

- Broker/Agency
- Market Making
- Proprietary Trading
- Algorithmic Trading
- Reserved for new users
- Disaster Recovery or Backup

k) OMS Information

Technical details of Order Management System (OMS) used to connect to Exchange. OMS must be Exchange-approved application or passed conformance test set out by Exchange.

- (i) Product Name:
- (ii) Version Number:
- (iii) Provider:
- (iv) Provider Contact:
- (v) Operating System and Version:
- (vi) Certified by APEX: Yes No (Conformance Test is required)

BILLING CONTACT DETAILS

l) Contact Person

The contact person stated should be the point of contact for billing purposes related to SEAT ID application and usage.

m) Address

The address of the contact should be provided. The city and country should be included for foreign addresses.

n) Telephone

The direct telephone number of the contact person should be provided. If the contact person is not a resident in Singapore, the country code of the location of the contact person should be included.

o) Fax

The direct facsimile number of the contact person should be provided. If the contact person is not a resident in Singapore, the country code of the location of the contact person should be included.

p) Email

The company email address of the contact person should be provided.

- q) Designation
This field allows users to specify the role of the contact person in the entity.

TECHNICAL CONTACT DETAILS

- r) Contact Person
The contact person stated should be the point of contact for any technical queries related to SEAT ID application and usage. If this is a sponsored access, the contact person should be from the Customer.
- s) Address
The address of the contact should be provided. The city and country should be included for foreign addresses.
- t) Telephone
The direct telephone number of the contact person should be provided. If the contact person is not a resident in Singapore, the country code of the location of the contact person should be included.
- u) Fax
The direct facsimile number of the contact person should be provided. If the contact person is not a resident in Singapore, the country code of the location of the contact person should be included.
- v) Email
The company email address of the contact person should be provided.
- w) Designation
This field allows users to specify the role of the contact person in the entity.

SEAT REPRESENTATIVE

- x) Contact Person
The contact person stated should be a representatives of the Member firm, responsible for orders routed through the applied SEAT ID.
- y) Address
The address of the contact should be provided. The city and country should be included for foreign addresses.
- z) Telephone
The direct telephone number of the contact person should be provided. If the contact person is not a resident in Singapore, the country code of the location of the contact person should be included.
- aa) Fax
The direct facsimile number of the contact person should be provided. If the contact person is not a resident in Singapore, the country code of the location of the contact person should be included.
- bb) Email
The company email address of the contact person should be provided.
- cc) Designation
This field allows users to specify the role of the contact person in the entity.

SPONSORING / TRADING MEMBER DECLARATION

dd) Name / Designation (Personnel of Clearing Member)

Full name of the person who has authorised this application.

ee) Contact

The contact number of the person indicated in item(cc).

ff) Email

The company email address of the contact person indicated in item(cc).

SPONSORING / TRADING MEMBER AUTHORISED SIGNATORIES

gg) Name / Designation

Full name of the person who prepared the SEAT ID Application Form submission. Person must be in the list of authorised signatories registered with the Exchange.

hh) Signature

Signature of person indicated in item(ff).

ii) Company Stamp

Company stamp of Sponsoring/ Trading Member.