

**CLEARING MEMBER INFORMATION UPDATE FORM**

(Email to risk@asiapacificex.com)

**1. Member Contact Information**

Name of Member		Date of Reporting (DD MMM YYYY)	
Name of Reporting Person		Contact Number (+country code – area code – number)	

**2. Declaration of Credit Facility Granted and Utilization as of \_\_\_\_\_ (DD MMM YYYY)**

Name of Bank	Credit Facility Details (Please indicate currency of denomination)	Utilization level (Please indicate currency of denomination)

**3. Declaration of Total Risk Requirement and Financial Resources as of \_\_\_\_\_ (DD MMM YYYY)**

Please indicate currency of denomination:

Total Risk Requirement:                      Financial Resources:

**4. Declaration of latest credit rating as of \_\_\_\_\_ (MMM YYYY)**

a) Is there any change of credit rating during the month? - <i>If yes, please provide the latest credit rating.</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
b) Are there any material changes to the background information of the Clearing Member, such as business strategy, expansion plan, risk management and loss experience, segmental information, market-making activities, client base, market position in various markets it operates, etc? - <i>If yes, please provide more details regarding the changes.</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
c) Is there information on any adverse developments in respect of the Clearing Member or its parent holding company? - <i>If yes, please provide more information.</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No

**5. Financial reports of members or its credit enhancer (if applicable)**

Are there any quarterly unaudited financial reports or annual audited financial reports available for submission in the month of _____ (MMM YYYY)? (please insert date here same as Section 4) If yes, please attach a copy of the latest financial reports together with this form.	<input type="checkbox"/> Yes <input type="checkbox"/> No
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