

## Authorised Signatories Form for Clearing Member

(Email to [operations@asiapacificex.com](mailto:operations@asiapacificex.com))

<b>Date of Submission</b>	<i>DD/MM/YYYY</i>
<b>Member Name</b>	
<b>Member ID</b>	
<b>Type of Request</b>	<input type="checkbox"/> Add <input type="checkbox"/> Remove <input type="checkbox"/> Update

The following are staff appointed as Authorised Signatories for the various Clearing House tasks. Please refer to the **Appendix** for a description of the tasks in each function.

Note: **Singly**: Requires any **ONE** of the Authorised Signatories signing singly to be valid

**Jointly**: Requires any **TWO** of the Authorised Signatories signing jointly to be valid

Authorised Signatory 1														
<b>Name</b>														
<b>Designation</b>														
<b>Email Address</b>														
<b>Office / Mobile Contact</b>														
<b>Authorization</b>	APEX Systems						Collateral Management		Physical Delivery		System / Operation Exception		Request for Data	
	Trades		Positions		Accounts									
	Singly	Jointly	Singly	Jointly	Singly	Jointly	Singly	Jointly	Singly	Jointly	Singly	Jointly	Singly	Jointly
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Signature</b>														

**Authorised Signatory 2**

<b>Name</b>														
<b>Designation</b>														
<b>Email Address</b>														
<b>Office / Mobile Contact</b>														
<b>Authorization</b>	APEX Systems						Collateral Management		Physical Delivery		System / Operation Exception		Request for Data	
	Trades		Positions		Accounts		Singly	Jointly	Singly	Jointly	Singly	Jointly	Singly	Jointly
	Singly	Jointly	Singly	Jointly	Singly	Jointly								
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Signature</b>														

**Authorised Signatory 3**

<b>Name</b>														
<b>Designation</b>														
<b>Email Address</b>														
<b>Office / Mobile Contact</b>														
<b>Authorization</b>	APEX Systems						Collateral Management		Physical Delivery		System / Operation Exception		Request for Data	
	Trades		Positions		Accounts		Singly	Jointly	Singly	Jointly	Singly	Jointly	Singly	Jointly
	Singly	Jointly	Singly	Jointly	Singly	Jointly								
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Signature</b>														

**Authorised Signatory 4**

<b>Name</b>														
<b>Designation</b>														
<b>Email Address</b>														
<b>Office / Mobile Contact</b>														
<b>Authorization</b>	APEX Systems						Collateral Management		Physical Delivery		System / Operation Exception		Request for Data	
	Trades		Positions		Accounts		Singly	Jointly	Singly	Jointly	Singly	Jointly	Singly	Jointly
	Singly	Jointly	Singly	Jointly	Singly	Jointly								
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Signature</b>														

**Authorised Signatory 5**

<b>Name</b>														
<b>Designation</b>														
<b>Email Address</b>														
<b>Office / Mobile Contact</b>														
<b>Authorization</b>	APEX Systems						Collateral Management		Physical Delivery		System / Operation Exception		Request for Data	
	Trades		Positions		Accounts		Singly	Jointly	Singly	Jointly	Singly	Jointly	Singly	Jointly
	Singly	Jointly	Singly	Jointly	Singly	Jointly								
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Signature</b>														

**Authorised Signatory 6**

<b>Name</b>														
<b>Designation</b>														
<b>Email Address</b>														
<b>Office / Mobile Contact</b>														
<b>Authorization</b>	APEX Systems						Collateral Management		Physical Delivery		System / Operation Exception		Request for Data	
	Trades		Positions		Accounts		Singly	Jointly	Singly	Jointly	Singly	Jointly	Singly	Jointly
	Singly	Jointly	Singly	Jointly	Singly	Jointly								
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Signature</b>														

**Certified True and Signed by**

<b>Name / Designation</b>														
<b>Signature / Date</b>														

**Notes:**

Clearing House will only take instructions from the authorised signatories above on the clearing-related tasks/requests.  
 Clearing Members have the obligation to update Clearing House of any changes in their authorised signatories.  
 This form needs to be certified and signed by CEO, Director, Operations Head or any other signatories authorised by the Clearing Member's Board.

## APPENDIX

<b>APEX Systems – Trades</b>	<b>Forms:</b> <ul style="list-style-type: none"> <li>Appointment of Authorised Coordinator Form</li> <li>Default Auctioning Form</li> </ul>	<b>Others:</b> <ul style="list-style-type: none"> <li>Any instructions relating to trades and block trades</li> </ul>
<b>APEX Systems – Positions</b>	<b>Forms:</b> <ul style="list-style-type: none"> <li>Position Transfer Request Form</li> <li>Position Reinstatement Request Form</li> </ul>	<b>Others:</b> <ul style="list-style-type: none"> <li>Any instructions relating to positions</li> </ul>
<b>APEX Systems – Accounts</b>	<b>Forms:</b> <ul style="list-style-type: none"> <li>Client Account Identification Form</li> <li>Position Account Identification Form</li> <li>Systems Access Application Form</li> <li>Token Application Form</li> <li>System Application Password Reset Form</li> </ul>	<b>Others:</b> <ul style="list-style-type: none"> <li>Any instructions relating to position account management</li> <li>Any instructions relating to APEX Systems user access</li> </ul>
<b>Collateral Management</b>	<b>Forms:</b> <ul style="list-style-type: none"> <li>Settlement Bank Details Form</li> </ul>	<b>Others:</b> <ul style="list-style-type: none"> <li>Any instructions relating to deposits/withdrawals/transfers of collaterals</li> <li>Any instructions relating to collateral account opening/closing</li> </ul>
<b>Physical Delivery</b>	<b>Forms:</b> <ul style="list-style-type: none"> <li>ADP Application Form</li> <li>Application for Load-in Load-out</li> <li>EFP Application Form</li> <li>Letter of Undertaking</li> <li>Notice of Delivery</li> <li>Notice of Release for Refund of Delivery Margin &amp; Special Margin</li> <li>Notification of Completion of Physical Delivery</li> <li>Any other forms related to Physical Delivery</li> </ul>	<b>Others:</b> <ul style="list-style-type: none"> <li>Any instructions relating to Physical Delivery</li> </ul>
<b>System/Operation Exception</b>	<b>Forms:</b> <ul style="list-style-type: none"> <li>Seat ID Application Form</li> <li>Seat ID Password Reset Form</li> </ul>	<b>Others:</b> <ul style="list-style-type: none"> <li>Any exception matters relating to system and/or operational issues</li> </ul>
<b>Request for Data</b>	For request of information and data, APEX will only email the information to the authorised personnel indicated.	